

## **Barking and Dagenham**

1. The purpose of growth fund is to support:
  - basic need expansions (permanent and bulge) approved by the local authority as these are not captured in the October census until the following year. Additional classes must be part of the growth plan agreed and co-ordinated by the Admissions and Schools Investment team.
  - additional classes needed to meet the infant class size regulation.
2. These will be funded from the centrally retained growth fund created from top slicing the Dedicated Schools Grant.
3. Where a school increases its PAN in agreement with the Admissions and Schools Investment team funding will be provided based on AWPU x the number of additional places until these are reflected in the school funding formula. Funding will be adjusted to reflect the proportion of the year which is not funded within the school's budget share.
4. Growth funding will be allocated as follows:
  - (Primary AWPU or KS3) x 30 to each school, adjusted to reflect the proportion of the year which is not funded within the school's budget share until the school receives funding through their funding formula.
    - For example, new classes opening at any point in September will be funded at  $30 \times \text{AWPU} \times \frac{7}{12}$ , and one opening at any point January will be funded at  $\text{AWPU} \times 30 \times \frac{3}{12}$ .
    - Where primary schools have expanded from 1.5 to 2 FE in September, funding will be calculated on Primary AWPU x 15 x  $\frac{7}{12}$ .
    - Academies, as these operate on a different funding cycle, would be funded from the month of opening to the following August but the additional payment relating to April to August period is adjusted against the DfE recoupment amount so that the call on the growth fund is the same as funding maintained schools.
5. In exceptional cases, an additional £3,000 maybe provided towards start up costs of outside of the agreed growth fund formula.
6. Any underspend on growth funding may be carried forward to the following funding period, and any overspent growth funding will form part of the overall DSG surplus or deficit balance.

## Hounslow

7. Growth Fund allocations will be made for an approved bulge classes in the first year, or for an agreed expansion classes as the expansion moves up the school. The amount of funding will be paid at 90% of AWPU for the year group, for a minimum of 26 pupils up to a maximum of 28 pupils multiplied by 7/12 pro-rata for the period from September to the following March (thereafter the class will be funded annually through the usual schools funding mechanism). If the bulge class is in an academy, then an additional period from April through to August may be funded (in the appropriate funding year, with the cost being met through a reduction in Recoupment deducted by ESFA from the Local Authority in the same funding year.)

- 7.1. Growth Fund will only be utilised for primary schools with LA approved/ planned year-on-year expansions, and not for growth resulting from popularity (note will be applied equally to academies and free schools where growth is not already addressed by amended pupil number projections).

## Kingston-upon-Thames

8. The following criteria applies unless the school (maintained school; free school or academy) is funded via the APT through estimated pupil numbers.

- 8.1. Funding will be available to those schools that take on additional classes on a planned basis to meet the identified basic need for additional places for pupils in Kingston schools (i.e. commissioned by the Director of Children's Services).
- 8.2. Initial Years Pupil Funding - the pupil numbers used to calculate the Basic Sum are those of the autumn census prior to the start of the financial year. The calculation used to calculate is  $AWPU * 30$  (estimated class size). In 2019/20 there are no secondary schools predicted to have bulge classes therefore the rate is; Primary;  $£2,905.30 * 30 = £87,159.00$  per bulge class/permanent expansion.
  - Where a school takes on an additional class in the autumn term during a financial year and the numbers are not included in the previous year's census, they will be eligible to receive additional funding equivalent to 7/12 of the Basic Sum for the estimated numbers of pupils in the growth class. ( $£87,159.00 / 12 * 7 = £50,842.75$ ) Due to Academies financial year starting in

September, they will be funded based on a full year and 5/12ths entered into the recoupment tab of the APT.

- Eligible schools will receive growth fund as a lump sum in September.
- 8.3. Protection funding for subsequent years - the intention is that no school should be financially disadvantaged from agreeing to host additional classes to help meet a need for places in the local community. In some cases demand may not quite reach the level initially reflected in applications and consequently some of the additional places may be vacant. As the affected cohort passes through the school it is unlikely there will be a significant variation in the number on roll. It is therefore appropriate to provide some financial protection should the number of surplus places be significant. The funding will be paid where the total number on roll in any affected year group falls below 95% of the agreed admissions numbers. Funding equivalent to 1/30th of the cost of a teacher (based on Main Scale 6 plus on-costs) will be allocated for each surplus place in the year group below 95% of the agreed admission number. This equates to £1,567 per surplus place for 2019/20.
- This funding is paid in September as a lump sum payment.
- 8.4. New and Growing schools - new schools are funded via estimated pupil numbers through the APT.
- 8.5. In exceptional circumstances where a school needs to admit a pupil into a Key Stage 1 class for a reason other than as an 'excepted pupil' under the School Admissions Code additional funding will be made available, if necessary, to enable the school to take relevant measures to comply with the infant class size regulations. The maximum allocation will be equivalent to the cost of an additional teacher (based on Main Scale 6 plus on-costs) for a period of three academic years.
- 8.6. Pupils above published admission numbers – if a school or Academy agrees to take one or more additional pupils at Key Stage 1 which would take them above their published admission numbers for the relevant year group, additional funding will be provided on the following basis:

- The number of eligible pupils to be funded is those pupils over the published admission numbers who remain in the school at the end of each half term.
- Funding will be paid in respect of each eligible pupil based upon 1/6th of the age weighted pupil unit (AWPU) amount for the relevant financial year.
- Funding will be paid each half term in arrears.
- Funding will be limited to the time period prior to the additional pupil(s) attracting funding through the usual funding formula allocations (a maximum of ten half terms).

## **Waltham Forest**

### 9. Permanent Expansions:

- 9.1. When a school admits an additional form of entry as part of a permanent expansion planned by the LA it will be eligible for support from the Growth Fund.
- 9.2. First year funding guarantee: Each new form of entry will receive a first year funding guarantee: a minimum of  $\frac{7}{12} \times 25$  x average pupil-led funding rate for the school, i.e. for a minimum of 25 places for seven months (September to March). If more than 25 pupils appear on the following October census for Reception / Year 7, additional average pupil-led funding will be allocated. There will be no claw-back if less than 25 pupils appear.
- 9.3. Second and subsequent entry years of expansion: Ordinarily, the first year funding guarantee will apply in the second and subsequent years to each new form entry until the fully expanded PAN has been reached. Where more than one form of entry has been implemented, and more than 30 additional pupils appear on the following October census, the first year funding guarantee will apply for the first form of entry up to 30, then for any subsequent forms up to the NOR.

### 10. Temporary (Bulge Class) Expansions:

- 10.1. When a school admits an additional form of entry as a temporary expansion (bulge class) planned by the LA it will be eligible for support from the Growth Fund.

- 10.2. First year funding guarantee: Each new bulge class will receive a first year funding guarantee: a minimum of  $7/12 \times 25 \times$  average pupil-led funding rate for the school, i.e. for a minimum of 25 places for seven months (September to March).
  - 10.3. Where more than one bulge class has been implemented for the same year group in an academic year, and more than 30 additional pupils appear on the following October census, the first year funding guarantee will apply for the first bulge class up to 30, then for any subsequent classes up to the NOR.
  - 10.4. If more than 25 pupils appear on the following October census for Reception / Year 7, additional average pupil-led funding will be allocated. There will be no claw-back if less than 25 pupils appear.
11. Years after admission: minimum class size guarantee
    - 11.1. The Local Authority will give a minimum class size guarantee of AWPU funding for 25 pupils per bulge class until the final year the bulge class is in the school unless the numbers in the bulge class fall to zero, when the class size guarantee will cease.
    - 11.2. Class size protection for bulge classes is capped at 15 AWPU.
    - 11.3. In the final year the class size protection will be reduced to  $5/12$  of AWPU to reflect that the bulge class matures after five months.
    - 11.4. Final year protection: The final year of a bulge class gives protection to the school. When a bulge class matures, the seven months (September to March) funding generated by the bulge class pupils is retained by the school to assist with the contraction in resources.
    - 11.5. Resources. An additional £5,000 will be paid for each new FE towards the cost of additional resources when the first year funding guarantee is applicable
  12. All schools and academies within our growth fund are eligible for funding under the above criteria

## **Solihull**

13. Growth Fund - Approved by School Forum 12-12-2018. Basic budget of £350,000 increased by £150,000 to accommodate 2017-18 overspend c/fwd into 2018-19.

14. Summary of method for allocating funding:

- Methodology 1: where a specific additional class is agreed: 7/12 of teacher value ( $7/12 * £35,400$ )
- Methodology 2: where 1/2 class of 15 pupils commissioned, in year 1 we will pay the cost of 0.5 or 1.0 fte teacher for 7/12 at standard £35,400, prorate from September to March. in year 2 we assess the actual pupils on roll, if there is a justification for a second class we will fund the difference between actual roll in that year group and whole numbers of 30 at per pupil rate of £1180 per pupil (30 pupils=£35,400). For example if extra class has 16 pupils, we will top up 14 pupils @ £1180 per pupil, and we continue this method until the bulge works its way through the infant phase. Funding will not continue into the junior phase.
- Methodology 3: we will consider contributing additional funds for furniture and equipment where a new class is established. Normally at a rate of £7,000 per class of 30. This is not paid where pupil number variation has been applied.
- Methodology 4: Where permanent pupil growth more than a single class (e.g. 2 classes), and a pupil number variation is not applied to the funding formula, in-year pupil growth funding will be the expected growth in pupils times the full AWPU factor times 7/12 for September intake. This sum is also expected to pay any class set-up costs.
- Methodology 5: a tailored approach specific to the needs of the school, e.g. a school facing growth across a number of year groups arising from housing developments, and the approaches above would not be appropriate.

15. Qualification for funding through the scheme is based upon principles as follows:

15.1. Additional funding will be made available to schools and academies in circumstances where:

- The Council carries out a formal consultation and approves to increase the capacity of a school.
- A school/academy carries out a formal consultation at either the request of the Council or supported by the Council.

- The Council requests a school/academy to increase their PAN to meet localised demand.
- A school/academy admits a significant increase in pupils to meet demand from new housing developments at the request of the Council.